

Investor complaints data for Stock Broker - SUNIDHI SECURITIES AND FINANCE LTD

Data for every month ending –OCTOBER 2024

| SN | Received from | Carried forward from previous month | Received during the month* | Total Pending# | Resolved* | Pending at the end of month** | | Average Resolution on time^ (in days) |
|----|-------------------------|-------------------------------------|----------------------------|----------------|-----------|--------------------------------|--------------------------------|---------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | | NIL |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | | NIL |
| 3 | SMART ODR | NIL | NIL | NIL | NIL | NIL | | NIL |
| 4 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | | NIL |
| 5 | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | | NIL |
| | Grand total | NIL | NIL | NIL | NIL | NIL | | NIL |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending # |
|----|--------------|-------------------------------------|----------|-----------|-----------|
| 1 | April-24 | NIL | NIL | NIL | NIL |
| 2 | May-24 | NIL | 1 | NIL | 1 |
| 3 | June-24 | 1 | NIL | 1 | NIL |
| 4 | July-24 | NIL | NIL | NIL | NIL |
| 5 | August-24 | NIL | NIL | NIL | NIL |
| 6 | September-24 | NIL | NIL | NIL | NIL |
| 7 | October-24 | NIL | NIL | NIL | NIL |

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2018-19 | NIL | NIL | NIL | NIL |
| 2 | 2019-20 | NIL | NIL | NIL | NIL |
| 3 | 2020-21 | NIL | NIL | NIL | NIL |
| 4 | 2021-22 | NIL | NIL | NIL | NIL |
| 5 | 2022-23 | NIL | NIL | NIL | NIL |
| 6 | 2023-24 | NIL | NIL | NIL | NIL |
| 7 | 2024-25 | NIL | 1 | 1 | NIL |
| | Grand Total | NIL | 1 | 1 | NIL |

*Inclusive of complaints of previous months resolved in the current month, if any

** Inclusive total complaints pending as on the last day of the month, if any

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.