

Investor complaints data for Investment Advisory

Data for every month ending - December 2021

| SN | Received from | Pending as at the end of last month | Received* | Resolved* | Total Pending# | Pending complaints > 3 month | Average Resolution time^(in days) |
|----|-------------------------|-------------------------------------|-----------|-----------|----------------|------------------------------|-----------------------------------|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | NIL |
| 3 | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | NIL |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending # |
|----|--------------------|-------------------------------------|----------|-----------|-----------|
| 1 | Apr-21 | NIL | NIL | NIL | NIL |
| 2 | May-21 | NIL | NIL | NIL | NIL |
| 3 | Jun-21 | NIL | NIL | NIL | NIL |
| 4 | Jul-21 | NIL | NIL | NIL | NIL |
| 5 | Aug-21 | NIL | NIL | NIL | NIL |
| 6 | Sep-21 | NIL | NIL | NIL | NIL |
| 7 | Oct-21 | NIL | NIL | NIL | NIL |
| 8 | Nov-21 | NIL | NIL | NIL | NIL |
| 9 | Dec-21 | NIL | NIL | NIL | NIL |
| 10 | Jan-22 | | | | |
| 11 | Feb-22 | | | | |
| 12 | Mar-22 | | | | |
| | Grand Total | | | | |

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received | Resolved* | Pending # |
|----|--------------------|------------------------------------|----------|-----------|-----------|
| 1 | 2018-19 | NIL | NIL | NIL | NIL |
| 2 | 2019-20 | NIL | NIL | NIL | NIL |
| 3 | 2020-21 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.

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